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2872

FACSIMILE COMMUNICATIONS
DISCOVISION ASSOCIATES
2355 Main Street, Suite 200, Irvine, CA 92714

TELEPHONE: (949) 660-5000
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TO: Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: October 29, 2003

Number of Pages: Cover + 10

ATTN: Examiner Lavarias, Arnel C.

TELEPHONE NO. 1 (703) 308-1782
FAX NO. 1 (703) 308-7382

FROM: Mr. Richard Stokey, Esq.

PLEASE CONTACT US IF ANY PAGES
ARE ILLEGIBLE OR ARE NOT RECEIVED

Our Docket No.: DE 2305.02 US

**PLEASE ACKNOWLEDGE RECEIPT OF THE
FOLLOWING DOCUMENTS.**

ATTN: Examiner Lavarias, Arnel C.

SERIAL NO.: 09/866,337

Enclosed please find the paperwork for an Amendment. If you should have any questions or comments, please contact me at (949) 660-5006.

Thank you for your courtesy and cooperation pertaining to this matter.

Richard Stokey
Patent Prosecution Attorney
Discovision Associates
Registration No. 40,383

CONFIDENTIALITY NOTE

THE INFORMATION CONTAINED IN THIS TELECOPY MAY BE LEGALLY PRIVILEGED AND IS CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IT IS EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW, INCLUDING COURT ORDERS. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THIS TELECOPY TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY USE, DISSEMINATION, DISTRIBUTION OR COPY OF THIS TELECOPY OR ITS INFORMATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS TELECOPY IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEFAX OR TELEPHONE USING THE ABOVE NUMBERS AND AIRMAIL THIS TELECOPY BACK TO US IMMEDIATELY

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October 29, 2003

VIA FACSIMILE

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CUSTOMER NUMBER

22887

PATENT TRADEMARK OFFICE

Re: U.S. Application No.: 09/866,337

Filed: May 25, 2001

Entitled: OPTICAL APPARATUS FOR REFLECTING LIGHT BEAMS TO
AN IDENTICAL OPTICAL AXIS (AS AMENDED)

Inventor: Darren Chen

Our File No.: DE 2305.02 US

Dear Sir:

Enclosed for filing in the above-referenced patent are the following documents:

1. Transmittal Form;
2. Fee Transmittal;
3. Amendment (Page 1 - 5);
4. Letter to Official Draftsman;
5. Replacement Sheet 9, Figure 9;
6. Cover Letter, and
7. Certificate of Facsimile dated October 29, 2003.

Please acknowledge receipt of this transmittal.

Very truly yours,

DISCOVISION ASSOCIATES

Richard Stokey
Patent Prosecution Attorney
INTELLECTUAL PROPERTY DEVELOPMENT

RS:cs

Enclosures

P:\ABC\PPD\DE\2305.02\pocvfr_L06.doc

CERTIFICATE OF FACSIMILE TRANSMISSION	
I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office (Fax No. 703) 346- <u>7383</u> on <u>October 29, 2003</u>	
Colleen A. Smothers (Type or print name)	
(Signature)	

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

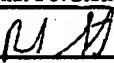
(to be used for all correspondence after initial filing)

		Application Number	09/866,337
		Filing Date	May 25, 2001
		First Named Inventor	Darren Chen
		Group Art Unit	2872
		Examiner Name	Lavarrias, Arnel C.
Total Number of Pages in This Submission		Attorney Docket Number	DE 2305.02 US

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CID, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Cover Letter
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Richard J. Stoekey, Reg. No. 40,383	
Signature		
Date	October 29, 2003	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name		
Signature		Date 10/29/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR §1.27

TOTAL AMOUNT OF PAYMENT (\$)

0

Complete if Known

Application Number	09/866,337
Filing Date	May 25, 2001
First Named Inventor	Darren Chen
Examiner Name	Lavarrias, Arnel C.
Art Unit	2872
Attorney Docket No.	DE 2305.02 US

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order Other None
 Deposit Account:

Deposit Account Number **04-1175**
 Deposit Account Name **DISCOVISION ASSOCIATES**

The Director is hereby authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity | Small Entity

Fee Code	Fee \$	Fee Code	Fee \$	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	550	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUB TOTAL (1) (\$ 0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	-20% =	Extra Claims	x	Fee from Below	Fee Paid
Independent Claims	-3% =				
Multiple Dependent:					

Large Entity	Small Entity	Fee Description		
Fee Code	Fee \$	Fee Code		
1202	10	2202	9	Claim in excess of 20
1201	88	2201	43	Independent claims in excess of 3
1203	280	2203	145	Multiple dependent claim, if not paid
1204	88	2204	43	** Reissue independent claims over original patent
1205	18	2205	8	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

* or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity | Small Entity

Fee Code	Fee \$	Fee Code	Fee \$	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	60	2052	26	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	820*	1804	820*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	280	2403	145	Request for oral hearing	
1451	1,610	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2601	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1808	180	1808	180	Submission of Information Disclosure Stmt.	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) _____					

* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0)

SUBMITTED BY

Complete (if applicable)

Name Printed/Typed	Richard J. Stokey	Registration No. Attorney / Agent	40,383	Telephone	(949) 660-5006
Signature				Date	10/29/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents; P.O. Box 1450, Alexandria, VA 22313-1450.

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#14
1-53

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: § Confirmation No. 4860
§
Darren Chen § Art Unit: 2872
§
Filed: May 25, 2001 § Examiner: LAVARIAS, Arnel C.
§
Serial No.: 09/866,337 § Attorney Docket No.
§ DE 2305.02 US
§
For: OPTICAL APPARATUS §
FOR REFLECTING LIGHT §
BEAMS TO AN IDENTICAL §
OPTICAL AXIS (AS §
AMENDED) §

Commissioner for Patents
Alexandria, VA 22313-1450
Attn: Official Draftsman

LETTER TO OFFICIAL DRAFTSMAN

Sir:

Transmitted herewith is 1 replacement sheet of a final drawing titled Figure 9.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1175.

Respectfully submitted,

DISCOVISION ASSOCIATES

Richard Stokey

Richard J. Stokey, Reg. No. 40,383

Date: October 29, 2003

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INTELLECTUAL PROPERTY DEVELOPMENT
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